

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

#11/360A
Docket No.

12451

Application Of: Klaus Spies, et al.

Application No.

09/280,874

Filing Date

March 29, 1999

Examiner

J. Bellinger

Customer No.

23389

Group Art Unit

3617

Confirmation No.

Title: CRAWLER TRACK LINK MEMBER

Address to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☒ A check in the amount of \$180.00 is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below.
 - ☐ Charge the amount of
 - ☒ Credit any overpayment.
 - ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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October 19, 2005

(Date)

Signature of Person Mailing Correspondence

Leopold Presser

Typed or Printed Name of Person Mailing Certificate

Dated: October 19, 2005

Leopold Presser
Registration No. 19,827

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cc: LP:jj



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Klaus Spies, et al.

Examiner: J. Bellinger

Serial No.: 09/280,874

Art Unit: 3617

Patent No.: 6,217,135

Issue Date: April 17, 2001

Filed: March 29, 1999

Docket: 12451

For: CRAWLER TRACK LINK MEMBER

Dated: October 19, 2005

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

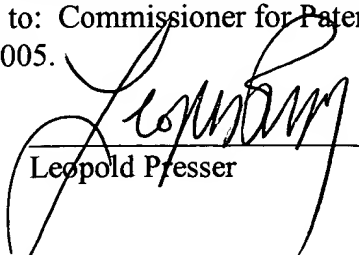
It is requested that the following references, which are also listed on the attached Form PTO-1449, be placed in the above-identified file.

1. U.S. Patent No. 3,339,987, issued September 5, 1967 to Körner, et al.;
2. PCT Application No. WO 96/29234, dated March 15, 1996;
3. German Application No. DE 30 25 840 A1, dated July 8, 1980;
4. German Application No. DE 30 18 143 A1, dated May 12, 1990;
5. French Application No. 2.017.197, dated May 22, 1970;
6. European Application No. 0 044 137 A1, dated June 12, 1981; and
7. Canadian Application No. 2,269,347, dated April 15, 1999.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 19, 2005.

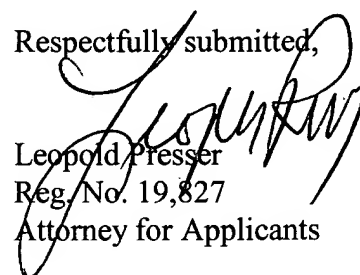
Dated: October 19, 2005


Leopold Presser

In accordance with the waiver of 37 C.F.R. § 1.98 (a)(2)(i) in effect as of June 30, 2003, applicants are not required to submit copies of the above-cited U.S. Patent references. Accordingly, applicants are only enclosing copies of the six (6) non-U.S. Patent references.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. §1.97(d), the \$180.00 fee and statement are enclosed.

Respectfully submitted,


Leopold Presser
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Attorney for Applicants

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Enclosures: PTO Form-1449 and copies of the six (6) non-U.S. patent references cited.

LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)



Atty. Docket No.
12451

Serial No.
09/280,874

Applicant
Klaus Spies, et al.

Filing Date
March 29, 1999

Group
3617

U.S. PATENT DOCUMENTS

EXAMINER INITIAL*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (if appropriate)
	AA	3,339,987	09/05/1967	Körner, et al.;			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

		Foreign Document Number	Date	Country	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	✓	WO 96/29234	March 15, 1996	PCT			X	
	✓	DE 30 25 840 A1	July 8, 1980	Germany				X
	✓	DE 30 18 143 A1	May 12, 1990	Germany				X
	✓	FR 2.017.197	May 22, 1970	France				X
	✓	EP 0 044 137 A1	June 12, 1981	Europe			X	
	✓	CA 2,269,347	April 15, 1999	Canada			X	

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

* **EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.